

# The Influence Of Iron In The Growth And Bio Film Formation Of *Klebsiella Pneumoniae* And ESBL Producing *Klebsiella Pneumoniae*

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## Abstract

**Background:** The aim of this study was to investigate the impact of different iron concentrations in the growth and biofilm formation between *Klebsiella pneumoniae* and ESBL producing *Klebsiella pneumoniae*

**Methods:** An experimental study used a sample of 12 clinical isolates of ETT aspirates from the Unit of Clinical Microbiology, Dr. Soetomo hospital, starting from October 2020 to March 2021. A growth measurement and a biofilm formation test were performed at different concentration of iron sulphate. Statistical analysis was performed using SPSS, one-way ANOVA test and post hoc LSD, with significance level  $p < 0.05$ .

**Results:** In the absence of iron, 0  $\mu\text{Mol}$ , classic *K. pneumoniae* showed a slower peak attainment of growth (5 McFarland), which occurred at 5 hours incubation, one hour later than the ESBL producing *K. pneumoniae* and ATCC 700603. With the addition of low iron concentration (1  $\mu\text{Mol}$ ), it increased the time of peak achievement of growth rate for all strains, classic *K. pneumoniae*, ESBL producing *K. pneumoniae* and ATCC 700603. An intermediate iron sulphate concentration, 10  $\mu\text{Mol}$ , classic *Klebsiella pneumoniae* showed a slower rate of two peaks attainment for its log growth phase, the first peak (4 McFarland) was after five hours incubation and then the second (4.5 McFarland) occurred after 12 hours incubation, when compare to ATCC 700603. At high concentration of iron sulphate (100  $\mu\text{Mol}$ ), all strains formed gas, therefore the normal peak of log growth phase was not achieved instead an irregular fluctuating growth density curve was observed. After 24 hours incubation (day one), in the absence of iron, classic *K. pneumoniae* formed a moderate density (1 unit) of biofilm compare to slightly more moderate (1.3 unit) biofilm formation by ESBL producing *K. pneumoniae* ( $p=0.024$ ) and a stronger (1.6 unit) biofilm density of ATCC 700603 ( $p=0.014$ ). These biofilm densities showed the same pattern of density escalation in day two. Low (1  $\mu\text{Mol}$ ) and intermediate (10  $\mu\text{Mol}$ ) induced all three strains into forming stronger density biofilm, whereas, high iron concentration, 100  $\mu\text{Mol}$ , did limit their biofilm formation.

**Conclusion:** ESBL producing *Klebsiella pneumoniae* and ATCC 700603 showed a faster growth rate and significantly stronger biofilm formation compare to classic *K. pneumoniae* in the depletion of iron concentration (0  $\mu\text{Mol}$ ) ( $p < 0.05$ ). Low (1  $\mu\text{Mol}$ ) iron concentrations had proven their effect as inducer of growth and significantly forming stronger and more stable biofilm in all three strains ( $p > 0.05$ ). Whereas an intermediate (10  $\mu\text{Mol}$ ) iron concentration showed similar inducing effect in classic *Klebsiella pneumoniae* and ESBL producing *Klebsiella pneumoniae*, except ATCC 700603 tends to show more increased planktonic growth and decreasing biofilm stability in day 2 incubation ( $p < 0.05$ ). A 100  $\mu\text{Mol}$  concentration of iron sulphate is considered high and showed an increased rate of gas formation effect which resulted in disrupted pattern of

growth and a significantly slower and weaker biofilm formation in all three strains ( $p > 0.05$ , there was no significant difference among three groups).

**Keywords:** Iron, Cellular Growth, Biofilm, *Klebsiella pneumoniae*

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## INTRODUCTION

*Klebsiella pneumoniae* is recently identified as a leading bacterial cause of ventilator-associated pneumonia (VAP) in ICU patients requiring mechanical ventilation in hospitals after surgery or various critical illnesses (Zheng et al, 2018, Nirwati et al, 2019, Buffet et al, 2021, Schulze et al, 2021). Surveillance studies from Dr Soetomo Hospital, between 2019-2020, showed *Klebsiella pneumoniae* as the main Gram-negative bacteria causing ventilator-related nosocomial infection (VAP) in ICU patients. The long-term use of a medical device, such as an endotracheal tube, is known to provide a non-shedding surface in a non-sterile liquid or wet environment, impaired mucociliary clearance, and other impaired host defenses, promote accelerated growth of this normal flora colonization into outgrowth dysbiosis and persistence biofilm infection as well as its high antibiotic resistance (Summaiya et al, 2012, Jefferson, 2004, Pope et al, 2019).

Apart from poor antibiotic perfusion through biofilm matrix, *Klebsiella pneumoniae* is also recognized for carrying plasmids that encode resistance mechanisms to counter aminoglycoside, fluoroquinolone, and ESBL classes (Tille et al, 2017). This mode of resistance occurred due to previous exposure to this broad-spectrum antibiotic and selective pressure phenomenon, therefore, *Klebsiella pneumoniae* attempts to increase its biological fitness cost by increasing its virulence, its transmission and its growth rate (Tille et al, 2017, Johnson, 2018). Therefore, this study further investigated the effect of iron on the growth and biofilm formation of ESBL-producing *Klebsiella pneumoniae* and its ATCC 700603.

Iron is a highly abundant mineral found in the environment and is cumulatively available in the human gut due to lack of excretory pathway (Oliveira et al, 2021). This remaining not absorbed iron by the human enterocytes, enters the colon where potentially pathogenic bacteria, such as natural inhabitants of the Enterobacteriaceae family, *Klebsiella pneumoniae*, can utilize it for growth and biofilm formation as their natural lifecycle (Burret et al, 2019). The iron availability in the respiratory mucosal epithelial cell is from the release of iron from the host transferrin and ferritin from lung tissue to the respiratory lining fluid for clearance by the mucociliary pathway or to the reticuloendothelial system for long-term storage (Ghio et al, 2006). A study from Gallo, 2012 showed that *Klebsiella pneumoniae* is able to grow on Fe(III) citrate as an energy source, yielding acetic acid and CO<sub>2</sub> coupled with Fe(III) reduction to Fe(II) and produces an exopolysaccharide (EPS) having a high rhamnose content and abiotic surface-binding properties, which enhances its ability of biofilm formation. The ability to obtain iron is therefore an important virulence factor and in response to iron deprivation, *Klebsiella pneumoniae* is known to produce small iron-chelating compounds called siderophores, up to four siderophores: enterobactin, salmochelin, aerobactin and yersiniabactin, and membrane receptor protein (Lodge et al, 1986, Bengoechea et al, 2019, Parmanada et al, 2019, Effah et al, 2020). This high-affinity iron uptake system is the key virulence factor of *Klebsiella pneumoniae* in surviving during nutrient and iron-limiting conditions of infection (Lodge et al, 1986, Parmanada et al, 2019, Effah et al, 2020). Once it succeeds in mucosal surface colonization, along with the natural life cycle of *Klebsiella pneumoniae*, it forms a biofilm to survive and persist on site (Summaiya et al, 2012, Jefferson, 2004, Tille et al, 2017, Johnson, 2018, Pope et al, 2019). Most importantly, *Klebsiella pneumoniae* biofilm may disperse

their cells by quorum sensing signaling thus may efficiently achieve translocated colonization, combine with subverting from the host immune response and may cause further bloodstream infection (Buffet et al, 2021, Schulze et al, 2021).

There is still limited study on the role of iron in *Klebsiella pneumoniae* biofilm formation (Hu et al, 2020). Therefore, this present study aimed to evaluate in vitro the comparative effect of iron for cellular growth and biofilm formation of classic *Klebsiella pneumoniae* and ESBL producing *Klebsiella pneumoniae*. The results were intended to provide a proper infection management advice.

## **METHODS**

### **Bacteria, media and culture conditions**

Sample used in this study were collected from six clinical isolates of classic *Klebsiella pneumoniae*, six clinical isolates of ESBL producing *Klebsiella pneumoniae* of ETT aspirates specimen and a control of ESBL producing *Klebsiella pneumoniae* ATCC 700603. All isolates were then stored in cryotubes containing Trypticase Soy Broth. Several experimental growth media were then made by providing some TSB experimental bottles containing each an additional 2ml of zero  $\mu\text{Mol}$  iron sulphate (absence of iron), 1 $\mu\text{Mol}$  iron sulphate considered as a low iron concentration, 10 $\mu\text{Mol}$  iron sulphate as an intermediate iron concentration, and 100 $\mu\text{Mol}$  iron sulphate as a high iron concentration. *Klebsiella pneumoniae* suspension were prepared by diluting 0.5 McFarland *Klebsiella pneumoniae* colonies into 2ml sterile NaCl. Each of these diluted bacterial suspensions were then added to TSB media broth containing different concentrations of iron sulphate.

### **Growth measurement**

The growth measurement was performed by measuring and recording the densities at 0 hour after adding bacterial suspension to each culture TSB media (plus iron sulphate) to ensure an initial homogenized measurement data. Then every hour of incubation at 37<sup>o</sup>C incubator, the bottle containing mixture of TSB plus iron sulphate concentration and bacterial suspension were observed and measured the densities using BD neflometer. This observation was completed with an every hour measurement and record up to 24 hours length study. A measured density from BD Neflometer of 1 McFarland is approximately equal to cell density  $3 \times 10^8 \text{CFU/ml}$  (Rumbaugh et al, 2020).

### **Biofilm detection**

Biofilm formation in fluid phase was detected by microtiter plate methods and spectrophotometry counting. Briefly a total of freshly made 0.5 McFarland of classic *Klebsiella pneumoniae*, ESBL producing *Klebsiella pneumoniae* and ATCC 700603 were prepared. Afterwards, each microwell of the microtiter plate were filled with 180 $\mu\text{l}$  TSB with added several different concentrations of iron sulphate (0,1,10,100 $\mu\text{Mol}$ ) and 20 $\mu\text{l}$  of bacterial suspension were further added. The microwell plates were covered to avoid evaporation bias and incubated at 37<sup>o</sup>C incubator for 24-hour and made an extra similar plate for 48 hours incubation. After incubation, the microwell plate were washed three times in phosphate buffer saline (pH 7.2) and air-dried in inverted position. When fully dried, 150 $\mu\text{l}$  methanol were added into each well to ensure the biofilm were formed and fixated at the bottom of microwell. After 20 minutes of methanol fixation, each microwell would be emptied by inverting the microwell plate and tapping the fluid out. Then 0,1% crystal violet were added and leaved for five minutes then washed gently the excess crystal violet. The biofilm was quantified by solubilizing crystal violet with a mixture of ethanol and acetone (80: 20 v/v) and by determining the

absorbance of the sample at 595nm using BioRad spectrophotometer. Biofilm producer *Klebsiella pneumoniae* was determined by its optical density cut off more than 0.4. A weak biofilm producer when  $0.4 \leq OD_{595} < 0.8$ , moderate biofilm producer when  $0.8 \leq OD_{595} < 1.6$ . A high biofilm producer when  $OD \geq 1.6$

#### **Data analysis**

Statistical analysis was performed using SPSS, the normality test with Kolmogorov Smirnov, one-way ANOVA test and post hoc LSD,  $p < 0.05$  were considered significant. The graphs were set up using SPSS general lineal model.

## **RESULTS**

### **Growth of classic *Klebsiella pneumoniae*, ESBL producing *Klebsiella pneumoniae* and ATCC 700603 under different iron concentrations**

The mean difference of the growth rate between classic *K. pneumoniae*-ATCC 700603 and classic *K. pneumoniae* – ESBL producing *K. pneumoniae* were not significantly different statistically, with  $p$  value  $> 0.05$ . All strains showed similar growth patterns. However, when examined into detail with the use of graphics, there were slight differences among three strains in the speed of bacterial growth time. For instance, in the absence of iron, 0  $\mu$ Mol, classic *K. pneumoniae* showed a slower peak attainment of growth (5 McFarland), which occurred at 5 hours incubation, one hour later than the ESBL producing *K. pneumoniae* and ATCC 700603. With the addition of low iron concentration (1  $\mu$ Mol), it fasten the time of peak achievement of growth rate for all strains, classic *K. pneumoniae*, ESBL producing *K. pneumoniae* and ATCC 700603. The peak level of growth for both classic *K. pneumoniae* and ATCC 700603 were 4 McFarland occurred after 4 hours incubation, whilst, for ESBL producing *K. pneumoniae* was 4.5 McFarland after 4 hours incubation. Apart from bringing all three strains to a common speed of growth rate, this low iron concentration seems to induce the ATCC 700603 to generate a second peak of growth after 14 hours incubation. An intermediate iron sulphate concentration, 10  $\mu$ Mol, classic *Klebsiella pneumoniae* showed a slower rate of two peaks attainment for its log growth phase, the first peak (4 McFarland) was after five hours incubation and then the second (4.5 McFarland) occurred after 12 hours incubation, when compare to ATCC 700603, the first peak 4 McFarland at four hours incubation and the second 5 McFarland after 13 hours incubation. Whilst, ESBL producing *Klebsiella pneumoniae* seem to have just one peak of log phase (4 McFarland) after 4 hours incubation with a declining stationary phase. The most visible different was observed at high concentration of iron sulphate (100  $\mu$ Mol), all strains formed gas, obstructing the BD nephelometer optical density reading, therefore the normal peak of log growth phase was not achieved instead an irregular fluctuating growth density curve was observed.

### **Biofilm formation of classic *Klebsiella pneumoniae*, ESBL producing *Klebsiella pneumoniae* and ATCC 700603 under different iron concentrations**

After 24 hours incubation (day one), in the absence of iron, classic *K. pneumoniae* formed a moderate density (1 unit) of biofilm compare to slightly more moderate (1.3 unit) biofilm formation by ESBL producing *K. pneumoniae* ( $p=0.024$ ) and a stronger (1.6 unit) biofilm density of ATCC 700603 ( $p=0.014$ ). These biofilm densities showed the same pattern of density escalation in day two. Biofilm formed by classic *K. pneumoniae* and ESBL producing *K. pneumoniae*, both showed enhancement to strong biofilm (1.6 unit) ( $p=0.718$ ), compare to ATCC 700603 even to a stronger biofilm (2.5 unit)

( $p=0.004$ ). Low iron concentration (1  $\mu\text{Mol}$ ) induced all three strains ability to form a strong biofilm ( $> 1,6$  unit) ( $p=0.371$  and  $p=0.816$ ) in day one and their biofilm enhancement densities ( $> 1.7$  unit) in day two ( $p=0.793$  and  $p=0.304$ ), as shown in the figures. At intermediate iron level, 10  $\mu\text{Mol}$ , induced both classic *K. pneumoniae* and ESBL producing *K. pneumoniae* strains into forming strong biofilm ( $> 1,6$  unit), and getting slightly strong in day 2 ( $p=0.146$ ), except ATCC700603 showed a decreasing stability into a moderate only biofilm density ( $p=0.023$ ). At high iron concentration, 100  $\mu\text{Mol}$ , day one, the biofilm density of both, ATCC 700603 with  $< 0.4$  unit, ESBL producing *K. pneumoniae* ( $< 0.4$  unit), were weak, whilst classic *K. pneumoniae* succeed in forming a moderate density biofilm (0.7 unit) ( $p=0.014$ ,  $p=0.24$ ). In day two, the biofilm density increased for all three strains, classic *K. pneumoniae* turning into a strong biofilm ( $> 1.6$  unit), ESBL producing *K. pneumoniae* also turning into a strong biofilm ( $> 1.6$  unit) ( $p=0.718$ ), ATCC700603 turning into a moderate biofilm (0.6 unit) ( $p=0.004$ ).

## DISCUSSION

This present study showed that nutrient broth in the absence of iron is able to support to growth and biofilm formation of all three strains of classic *Klebsiella pneumoniae*, ESBL producing *K. pneumoniae* and ATCC 700603 even though, the growth rate and biofilm formation rate of classic *K. pneumoniae* is slightly slower. Low (1  $\mu\text{Mol}$ ) and intermediate (10  $\mu\text{Mol}$ ) concentrations of iron sulphate have proven their inducing effect of growth for all three strain classic *Klebsiella pneumoniae*, ESBL producing *Klebsiella pneumoniae*, ATCC 700603. There is assumption that iron is critical and required in micro-concentration for the growth and synthesis of these bacterial DNA. In this low concentration, it was also observed that ATCC 700603 has presumably the capability of survive longer in stationary phase, due to activation of HIF (hypoxia inducible factor)-1 $\alpha$  protein, in order to persist in nutrient and oxygen scarce environment (Holden et al, 2016). The same survival phenomenon was also seen in intermediate iron concentration for classic *Klebsiella pneumoniae* and ATCC 700603. Whereas, a high concentration iron sulphate, 100  $\mu\text{Mol}$  does not support the planktonic growth, due to their bubble gases metabolism, resulting in weaker and slower speed biofilm formation, similar to what happened with other Gram-negative bacteria, *Pseudomonas aeruginosa* (Berluttiet al, 2005, Rumbaugh et al, 2020). It can be sum up that, both in the absence and the presence of low and moderate iron concentration, *Klebsiella pneumoniae* pose a huge danger in undernourished and immune compromised patient who losses their epithelial barrier immunity, losses cellular immune competent function to clear the pathogen combined with antibiotic usage which then leads to dysbiosis loss of beneficial microbial organism, expansion of potentially harmful *Klebsiella*, losses of overall microbial diversity and progression to develop opportunistic nosocomial biofilm related infection, whereas at high iron concentration support these bacteria planktonic growth with production of bubble gases and cause planktonic dispersal infection.

## CONCLUSIONS

ESBL producing *Klebsiella pneumoniae* and ATCC 700603 showed a faster growth rate and significantly stronger biofilm formation compare to classic *K. pneumoniae* in the depletion of iron concentration (0  $\mu\text{Mol}$ ) ( $p < 0.05$ ). Low (1  $\mu\text{Mol}$ ) iron concentrations had proven their effect as inducer of growth and significantly forming stronger and more stable biofilm in all three strains ( $p > 0.05$ ). Whereas an intermediate (10  $\mu\text{Mol}$ ) iron concentration showed similar inducing effect in classic *Klebsiella pneumoniae* and ESBL producing *Klebsiella pneumoniae*, except ATCC 700603 tends to show more increased planktonic growth and decreasing biofilm stability in day 2 incubation ( $p <$

0.05). A 100  $\mu\text{Mol}$  concentration of iron sulphate is considered high and showed an increased rate of gas formation effect which resulted in disrupted pattern of growth and a significantly slower and weaker biofilm formation in all three strains ( $p > 0.05$ , there was no significant different among three groups).

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### AUTHORS CONTRIBUTION

All authors contributes equally in this manuscript.

### APPENDICES

Figure 1. The effect of 0 $\mu\text{Mol}$  iron concentration on the growth of the three strains of *Klebsiella pneumoniae*

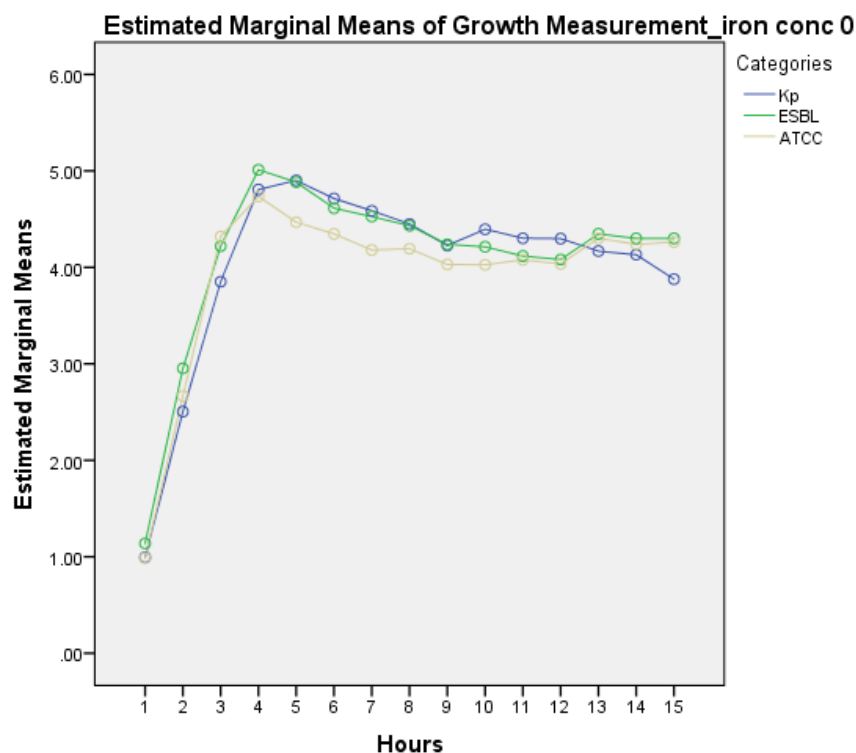


Figure 2. The effect of 1 $\mu\text{Mol}$  iron concentration on the growth of the three strains of *Klebsiella pneumoniae*

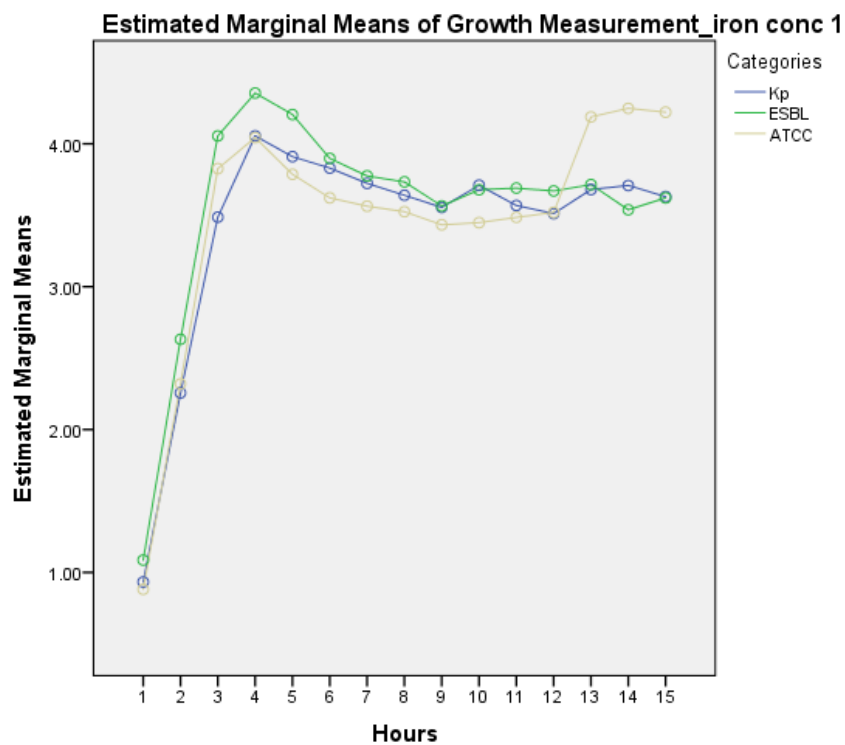


Figure 3. The effect of 10µMol iron concentration on the growth of the three strains of *Klebsiella pneumoniae*

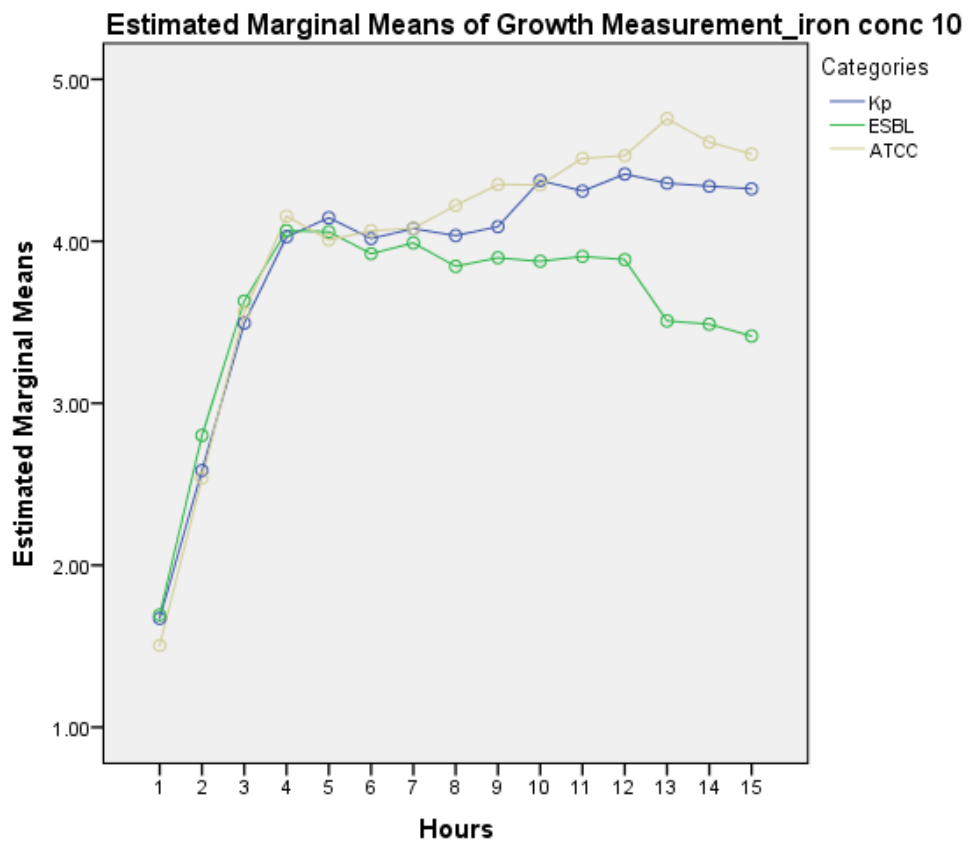


Figure 4. The effect of 100µMol iron concentration on the growth of the three strains of *Klebsiella pneumoniae*

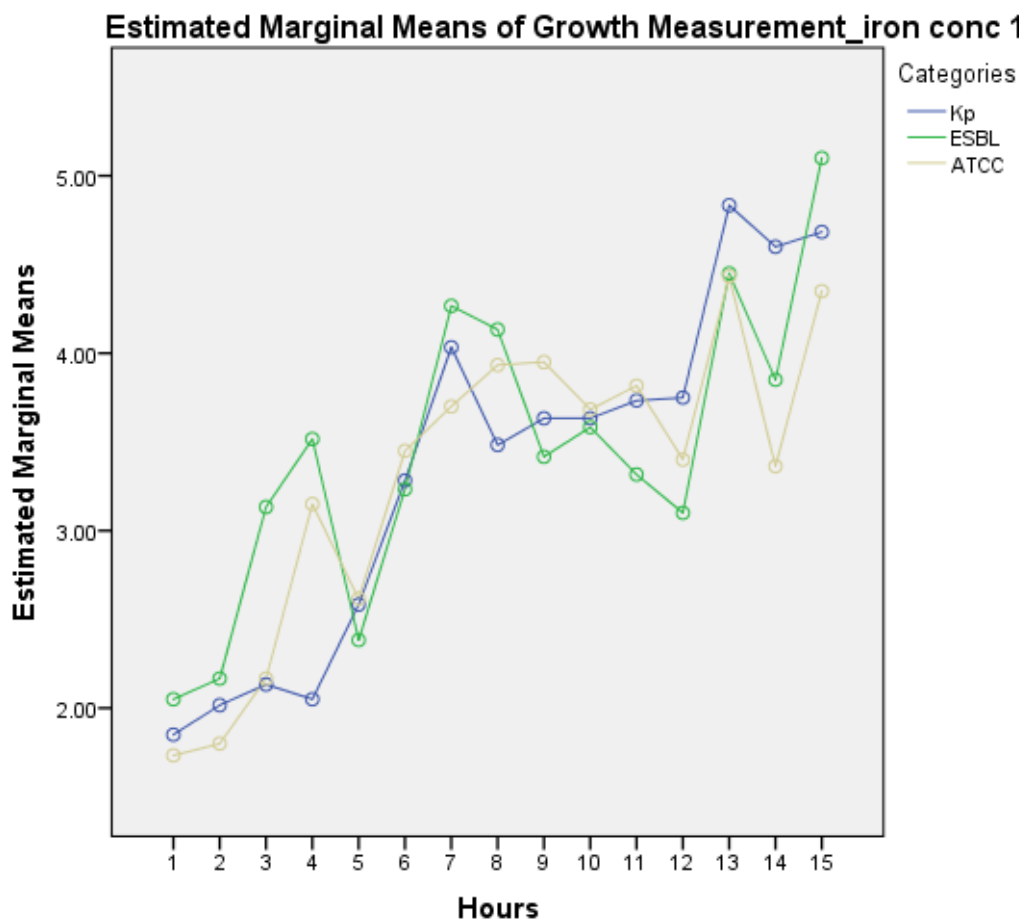


Figure 5. The effect of 0µMol iron concentration on the biofilm formation of the three strains of *Klebsiella pneumoniae* at 24-hour (day 1) and 48-hour (day 2) observation



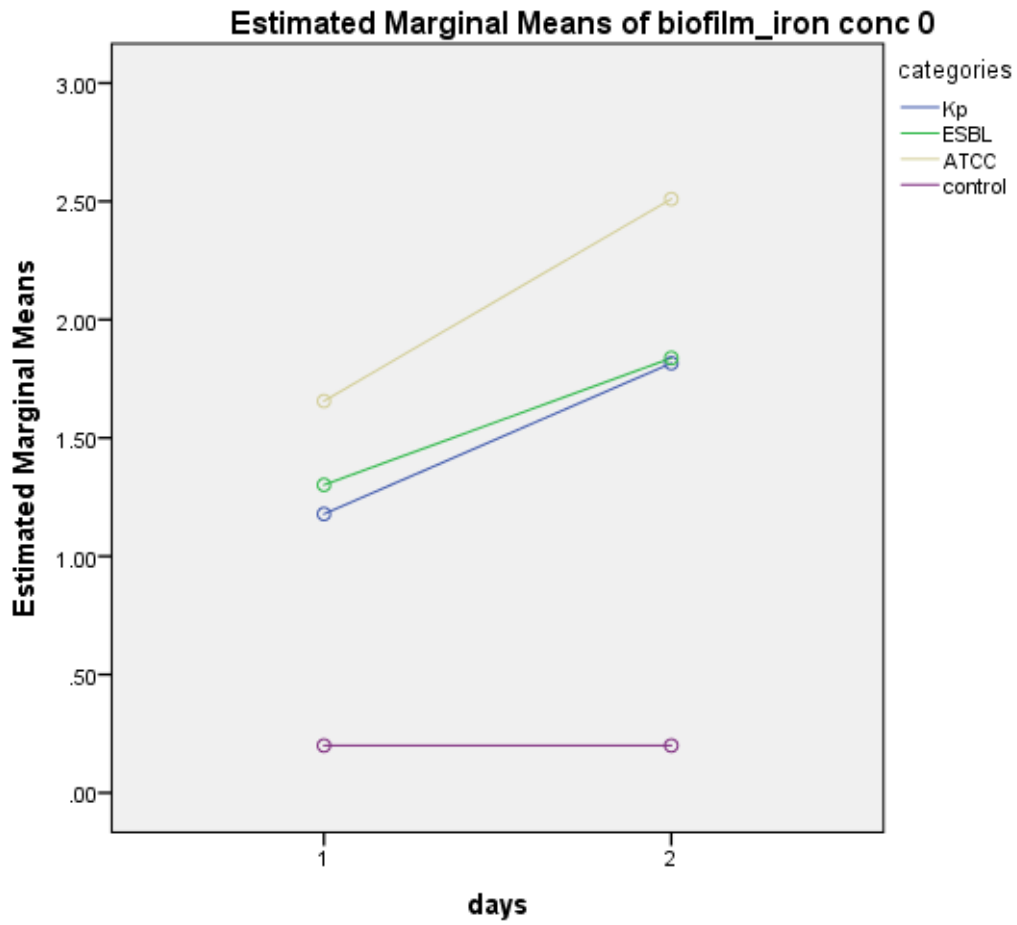


Figure 6. The effect of 1µMol iron concentration on the biofilm formation of the three strains of *Klebsiella pneumoniae* at 24-hour (day 1) and 48-hour (day 2) observation

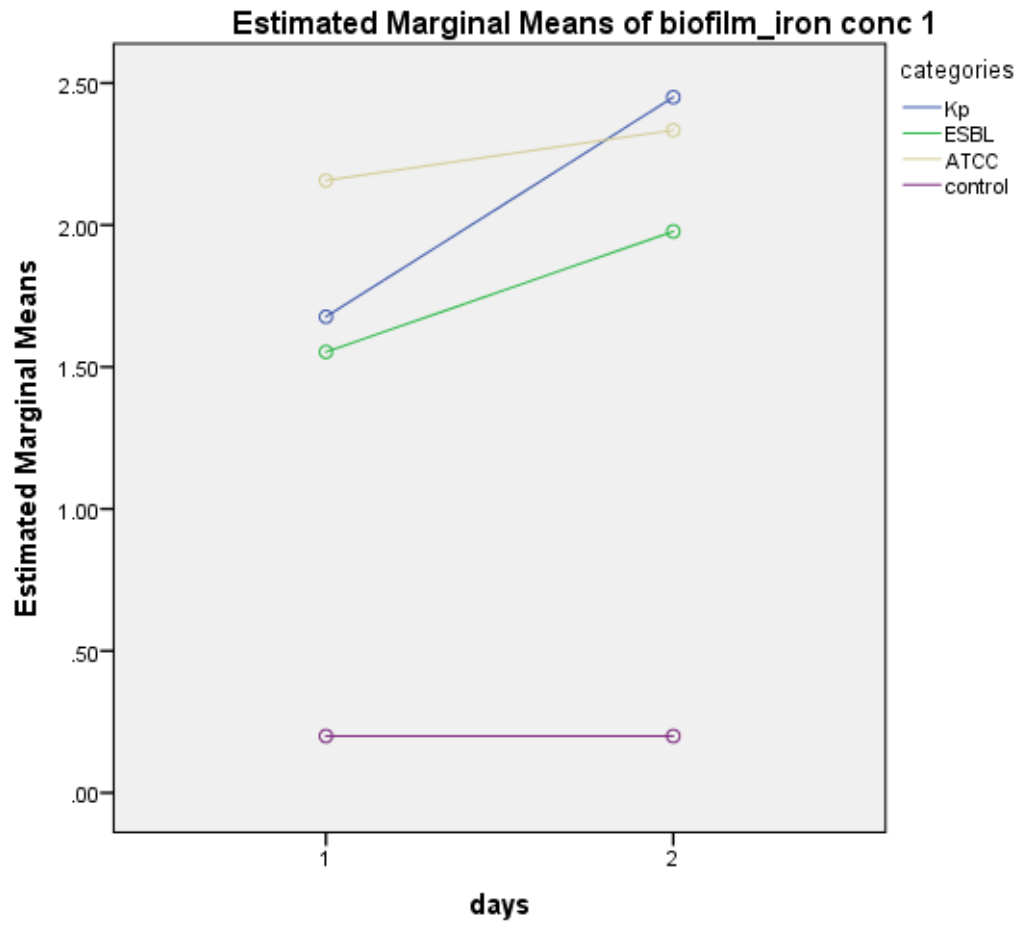


Figure 7. The effect of 10µMol iron concentration on the biofilm formation of the three strains of *Klebsiella pneumoniae* at 24-hour (day 1) and 48-hour (day 2) observation

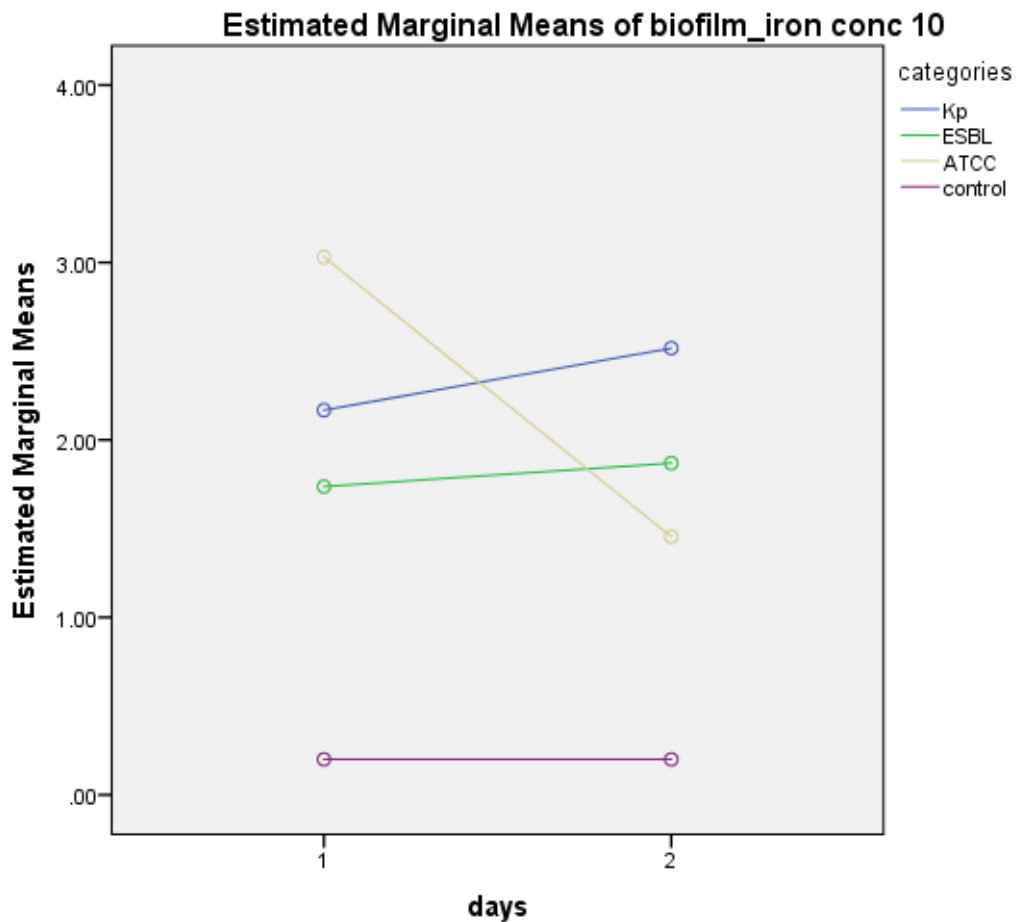
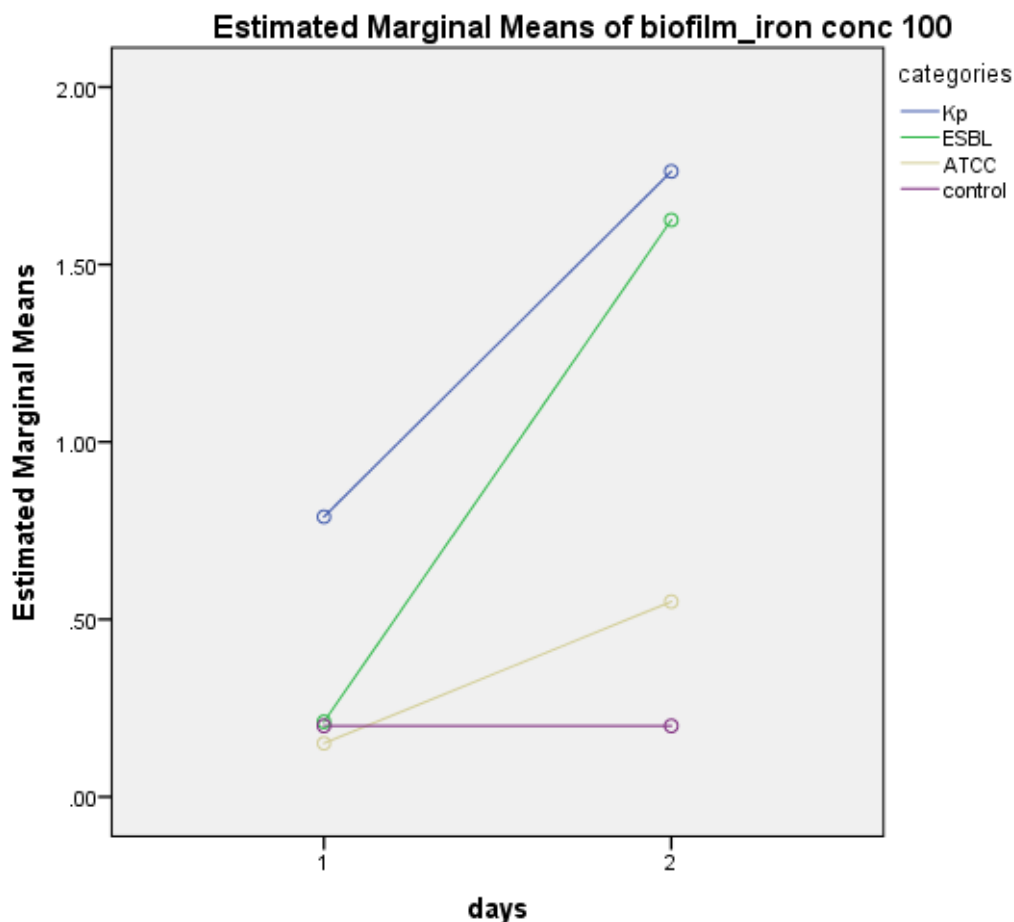


Figure 8. The effect of 100µMol iron concentration on the biofilm formation of the three strains of *Klebsiella pneumoniae* at 24-hour (day 1) and 48-hour (day 2) observation



**Table 1. One way Annova Post Hoc LSD test – Bacterial Growth Rate**

	Control Positive		Test	
	K. pneumoniae – ATCC 700603		K. pneumoniae – ESBL producing K.pneumoniae	
Iron Concs. (µMol)	Mean Difference ± SD	Significance 95% CI	Mean Difference ± SD	Significance 95% CI
0	0.089 ± 0.208	0.675	0.077 ± 0.208	0.715
1	0.610 ± 0.191	0.754	0.135 ± 0.191	0.492
10	0.101 ± 0.236	0.675	0.285 ± 0.236	0.245
100	0.502 ± 0.189	0.795	0.933 ± 0.189	0.630

**Table 2. One way Annova Post Hoc LSD test – Bacterial Biofilm Formation**

Control Negative	Control Positive	Test
K. pneumoniae-control negative	K. pneumoniae-ATCC 700603	K. pneumoniae-ESBL producing K. pneumoniae

Iron Concs. ( $\mu\text{Mol}$ )	Day 1		Day 2		Day 1		Day 2		Day 1		Day 2	
	Mean Diff $\pm$ SD	Sig. 95% CI	Mean Diff $\pm$ SD	Sig. 95% CI	Mean Diff $\pm$ SD	Sig. 95% CI	Mean Diff $\pm$ SD	Sig. 95% CI	Mean Diff $\pm$ SD	Sig. 95% CI	Mean Diff $\pm$ SD	Sig. 95% CI
0	0.589 $\pm$ 0.237	0.022	1.562 $\pm$ 0.373	0	0,638 $\pm$ 0.237	0.014	1.212 $\pm$ 0.373	0.004	0.578 $\pm$ 0.237	0.024	0.137 $\pm$ 0.373	0.718
1	1.497 $\pm$ 0.524	0.010	2.27 $\pm$ 0.448	0	0.480 $\pm$ 0.524	0.371	0.119 $\pm$ 0.448	0.793	0.124 $\pm$ 0.524	0.816	0.473 $\pm$ 0.448	0.304
10	1.988 $\pm$ 0.513	0.001	2.337 $\pm$ 0.428	0	0.863 $\pm$ 0.513	0.108	1.058 $\pm$ 0.428	0.023	0.431 $\pm$ 0.513	0.411	0.648 $\pm$ 0.428	0.146
100	0.609 $\pm$ 0.237	0.018	1.582 $\pm$ 0.373	0	0.639 $\pm$ 0.237	0.014	1.212 $\pm$ 0.373	0.004	0.578 $\pm$ 0.237	0.24	0.137 $\pm$ 0.373	0.718

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